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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/535,378 Filing Date November 21, 2003 (I.A.) INFORMATION DISCLOSURE First Named Inventor Mark H. Kaplan STATEMENT BY APPLICANT Art Unit to be assigned (Use as many sheets as necessary) Examiner Name to be assigned 50425/223 Attorney Docket Number Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,789,200	08-04-1998	Ismail Kola et al.	
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Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	Ī
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	2	ANDREOLI J.M. et al. "The expression of a novel, epithelium-specific ets transcription factor is restricted to the most differentiated layers in the epidermis"; Nucleic Acids Research, 1997, Vol. 25, No. 21, pp 4287-95.	
	3	BARNES D.M. et al. "Overexpression of the c-erbB-2 oncoprotein: Why does this occur more frequently in ductal carcinoma in situ tahn in invasive mammary carcinoma and is this of prognostic significance?" European Journal of Cancer, 1992, Vol. 28, No. 2/3, pp 644-48.	
	4	CHANG C-H. et al. "ESX: A structurally unique Ets overexpressed early during human breast tumorigenesis"; Oncogene, 1997, Vol. 14, pp 1617-22.	
	5	CHANG C-H. et al. "Exon 4-encoded acidic domain in the epithelium restricted Ets factor, ESX, confers potent transactivating capacity and binds to TATA-binding protein (TBP)"; Oncogene, 1999, Vol. 18, pp 3682-95.	
	6	CHANG J. et al. "Over-expression of ERT (ESX/ESE/ELF3), an ets-related transcription factor, induces endogenous TGF-beta type II receptor expression and retores the TGF-beta signaling pathway in Hs578t human breast cancer cells"; Oncogene, 2000, Vol. 19, pp 151-54.	
	7	KIM J-H. et al. "Activation of the Murine Type II Transforming Growth Factor-Beta Receptor Gene" Journal of Biological Chemistry, 2002, Vol. 277, No. 20, pp 17520-30.	
	8	MA Y. et al. "Microarray analysis uncovers retinoid targets in human bronchial epithelial cells"; Oncogene, 2003, Vol. 22, pp 4924-32.	
	9	OETTGEN P. et al. "The Novel Epithelial-Specific Ets Transcription Factor Gene ESX Maps to Human Chromosome 1q32.1"; Genomics, 1997, Vol. 45, pp 456-57.	
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,	11	OETTGEN P. et al. "Genomic Organization of the Human ELF3 (ESE-1/ESX) Gene, A Member of the Ets Transcription Factor Family, and Identification of a Functional Promoter"; Genomics, 1999, Vol. 55, No. 3, pp 358-62.	

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Signature	Considered		

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	12	RAYNOR M. et al. "Optimisation of the RT-PCR detection of immunomagnetically enriched carcinoma cells"; BMC Cancer, 2002, Vol. 2, p. 14 et seq.	
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	15	TYMMS M.J. et al. "A novel epithelial-expressed ETS gene, ELF3: human and murine cDNA sequences, murine genomic organization, human mapping to 1q32.2 and expression in tissues and cancer"; Oncogene, 1997, Vol. 15, pp 2449-62.	
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